



Docket No.: 214869US8

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 10/032,450  
Applicants: Hideyuki NASU, et al.  
Filing Date: January 2, 2002  
For: OPTICAL MODULE, TRANSMITTER AND WDM  
TRANSMITTING DEVICE  
Group Art Unit: 2821  
Examiner: Vy, H.T.

SIR:

Attached hereto for filing are the following papers:

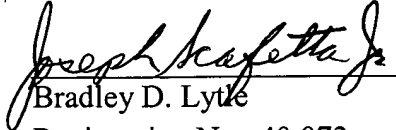
**Petition under 37 CFR 1.181**

**(with attached copy of PAIR system report, copy of date-stamped filing receipt dated January 2, 2002, copy of Information Disclosure Statement, Copy of PTO 1449)**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Bradley D. Lytle

Registration No. 40,073

Joseph Scafetta, Jr.

Registration No. 26,803

Customer Number

**22850**

(703) 413-3000 (phone)  
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DOCKET NO: 244869US8

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
HIDEYUKI NASU, ET AL. : EXAMINER: VY, H.T.  
SERIAL NO: 10/032,450 :  
FILED: JANUARY 2, 2002 : GROUP ART UNIT: 2821  
FOR: OPTICAL MODULE, :  
TRANSMITTER AND WDM  
TRANSMITTING DEVICE

PETITION UNDER 37 C.F.R. §1.181

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

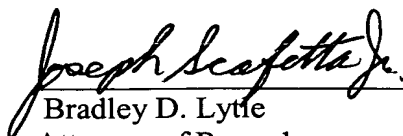
SIR:

In accordance with 37 C.F.R. §1.181, Applicants respectfully request the Examiner acknowledge consideration of the publications cited in the PTO-1449 form filed with the Information Disclosure Statement (IDS) on January 2, 2002. In accordance with the PAIR system, an Information Disclosure Statement was filed on January 2, 2002 (see attached copy of PAIR system). Additionally, we enclose herewith a copy of the date-stamped filing receipt dated January 2, 2002 along with a copy of the IDS and PTO 1449.

Accordingly, it is respectfully requested this petition be granted and the consideration of the IDS be acknowledged.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Bradley D. Lytle

Attorney of Record  
Registration No. 40,073

Joseph Scafetta, Jr.  
Registration No. 26,803

Customer Number

**22850**

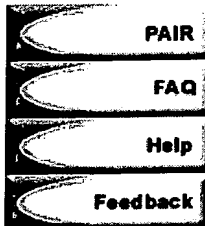
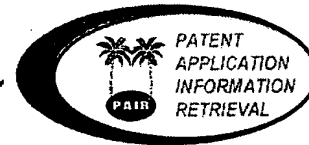
Tel: (703) 413-3000  
Fax: (703) 413 -2220  
(OSMMN 06/04)



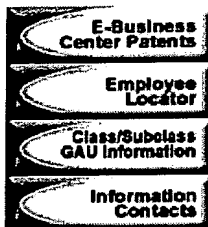
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## PATENT APPLICATION INFORMATION RETRIEVAL



## Other Links



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Search results as of: 10-25-2004::9:28

Search results for application number:10/032,450			
Application Number:	10/032,450	Customer Number:	22850
Filing or 371(c) Date:	01-02-2002	Status:	Notice of Allowance Mailed Application Received in Off Publications
Application Type:	Utility	Status Date:	09-16-2004
Examiner Name:	VY, HUNG T	Location:	ELECTRONIC
Group Art Unit:	2828	Location Date:	-
Confirmation Number:	6573	Earliest Publication No:	US 2002-0186729 A1
Attorney Docket Number:	214869US8	Earliest Publication Date:	12-12-2002
Class/ Sub-Class:	372/034	Patent Number:	-
First Named Inventor:	Hideyuki Nasu, Chiyoda-ku, (JP)	Issue Date of Patent:	-
Title Of Invention:	OPTICAL MODULE, TRANSMITTER AND WDM TRANSMITTING I		

Select Search Option

Assignments	
Continuity Data	
Display References	
Foreign Priority	
Image File Wrapper	
Patent Term Adjustment History	

Search

File History	
Date	Contents Description
10-14-2004	Receipt into Pubs
09-16-2004	Receipt into Pubs
08-25-2004	Workflow - File Sent to Contractor
08-12-2004	Mail Notice of Allowance
08-12-2004	Mail Examiner Interview Summary (PTOL - 413)
08-10-2004	Issue Revision Completed
01-02-2002	Reference capture on IDS
01-02-2002	Information Disclosure Statement (IDS) Filed
08-10-2004	Notice of Allowance Data Verification Completed
08-02-2004	Examiner Interview Summary Record (PTOL - 413)

08-09-2004	Notice of Allowability
06-15-2004	Date Forwarded to Examiner
12-24-2003	Response after Non-Final Action
01-21-2004	IFW Amended case processing Complete
12-24-2003	New or Additional Drawing Filed
09-25-2003	Mail Non-Final Rejection
09-22-2003	Non-Final Rejection
07-14-2003	Incoming Letter Pertaining to the Drawings
07-28-2003	Date Forwarded to Examiner
07-14-2003	Response after Non-Final Action
07-14-2003	Request for Extension of Time - Granted
03-12-2003	Information Disclosure Statement (IDS) Filed
02-12-2003	Mail Non-Final Rejection
01-27-2003	Non-Final Rejection
11-18-2002	Information Disclosure Statement (IDS) Filed
11-21-2002	Case Docketed to Examiner in GAU
11-07-2002	Case Docketed to Examiner in GAU
11-07-2002	Case Docketed to Examiner in GAU
08-18-2002	Case Docketed to Examiner in GAU
06-17-2002	Information Disclosure Statement (IDS) Filed
06-17-2002	Request for Foreign Priority (Priority Papers May Be Included)
06-10-2002	Application Dispatched from OIPE
06-07-2002	Application Is Now Complete
05-30-2002	Additional Application Filing Fees
05-30-2002	A statement by one or more inventors satisfying the requirement under 35 U.S.C. 115, Oath of the Applicant
01-30-2002	Notice Mailed--Application Incomplete--Filing Date Assigned
01-24-2002	IFW Scan & PACR Auto Security Review
01-15-2002	IFW Scan & PACR Auto Security Review
01-02-2002	Initial Exam Team nn

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Dept.: E/M

By: BDL:eac

OSMM&N File No. 214869US8

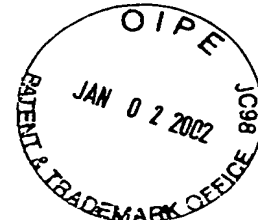
Serial No. NEW APPLICATION

In the matter of the Application of: HIDEYUKI NASU ET AL.

For: OPTICAL MODULE, TRANSMITTER AND WDM TRANSMITTING

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 37 pp. Specification    51 Claims/Formal Drawings    29 Sheets  
and 2 pages Application Data Sheet
- ☐ Combined Declaration, Petition & Power of Attorney    pages
- ☒ List of Inventor Names and Addresses
- ☒ Utility Patent Application Transmittal    ☐ CPA
- ☒ Notice of Priority    ☐ Priority Doc
- ☒ Check for \$1,764.00    ☒ Dep. Acct. Order Form
- ☒ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Formal Drawings    sheets    ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement    ☒ PTO-1449
- ☒ Cited References (7)
- ☐ Search Report
- ☐ Statement of Relevancy    ☐ Cited Pending Applications
- ☐ IDS/Related/List of Related Cases    ☐ Election Response
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☒ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☐
- ☐



Due Date: 1/2/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: HIDEYUKI NASU ET AL.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: OPTICAL MODULE, TRANSMITTER AND WDM TRANSMITTING DEVICE

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Bradley D. Lytle

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Joseph Scafetta, Jr.  
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(OSMMN 10/98)

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 214869US8		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT HIDEYUKI NASU ET AL.			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,998,256	3/5/1991	Ohshima et al.			
	AB	6,291,813	9/18/2001	Ackerman et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	JP 2000-056185	2/25/2000	Japan	X		
	AP	EP 106 9658	1/17/2001	Europe (English)			
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Villeneuve et al., "High-stability wavelength-controlled DFB-laser sources for dense WDM applications," Optical Fiber Communication (OFC) conference Technical Digest, March 20, 2001.					
	AX	Tatsuno et al., "50GHz spacing, multi-wavelength tunable locker integrated in a transmitter module with a monolithic-modulator and a DFB-laser," OFC Technical Digest TuB5-1, March 20, 2001.					
	AY	Adams et al., "Module-packaged tunable laser and wavelength locker delivering 40mW of fibre-coupled power on 34 channels," Electronics Letters Vol. 37, No. 11, 691-692, 24 <sup>th</sup> May, 2001.					
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							